

U.S. Nation Stage of PCT/SG2004/000413

Serial No.: To Be Assigned

Attorney's Docket No.: 08625-004US1 / 2504US **Preliminary Amendment**

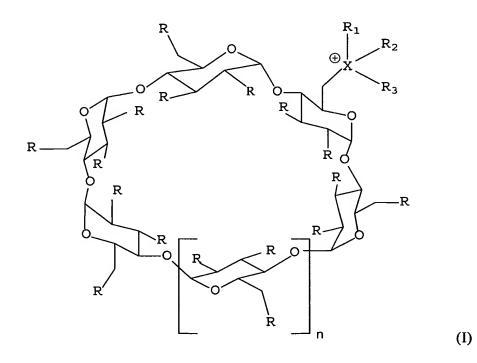
Filed : Herewith

AMENDMENTS TO THE CLAIMS:

Claims 1-40 are pending. Claims 1, 4, 7, 9, 13-21 and 24-30 are amended herein. Claims 31-40 and added herein. This listing of claims will replace all prior versions, and listings of claims, in the application.

LISTING OF CLAIMS:

1. (Currently amended) A cationic oligomer of a saccharide of the general formula (I):

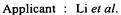


wherein:

$$n = 0 \text{ to } 8;$$

X is nitrogen or phosphorus;

R is a hydroxyl, an ester, a carbamate, a carbonate, a phosphinate, a phosphonate, a phosphate, a sulfinate, a sulfite, a sulfonate, a sulphate, or R'O-, wherein R' is linear or branched (C₁-C₂₀)alkyl, hydroxy(C₁-C₂₀)alkyl, carboxy(C₁-C₂₀)alkyl, aryl, or aryl(C₁-C₂₀)alkyl; and



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R₁, R₂ and R₃ are each independently selected from the group consisting of hydrogen, linear or branched (C₁-C₂₀)alkyl, linear or branched (C₁-C₂₀)alkenyl, linear or branched (C₁-C₂₀)alkynyl, and cycloalkyl; or

R₁ is absent, and R₂ and R₃ are taken together with X to form a ring having the following structure:

$$X^{+}$$
 R_{5}
 R_{4}

wherein m = 0 or 1;

Y is carbon or nitrogen;

R₄ is hydrogen, linear or branched (C₁-C₂₀)alkyl, linear or branched (C₁-C₂₀)alkenyl, linear or branched (C₁-C₂₀)alkynyl, or cycloalkyl; and

R₅ is hydrogen, 2-(2-ethoxyethoxy)ethyl, linear or branched (C₁-C₂₀)alkyl, linear or branched (C₁-C₂₀)alkenyl, linear or branched (C₁-C₂₀)alkynyl, cycloalkyl, or NR₆R₇, wherein R₆ and R₇ are each independently selected from the group consisting of hydrogen, linear or branched (C₁-C₂₀)alkyl, linear or branched (C₁-C₂₀)alkenyl, linear or branched (C₁-C₂₀)alkynyl, and cycloalkyl.

- 2. (Original) The cationic oligomer of a saccharide according to claim 1, wherein R₁, R₂ and R₃ are each independently selected from the group consisting of hydrogen, linear or branched (C₁-C₂₀)alkyl, linear or branched (C₁-C₂₀)alkenyl, linear or branched (C₁-C₂₀)alkynyl, and cycloalkyl.
- (Original) The cationic oligomer of a saccharide according to claim 2, wherein X is 3. nitrogen.
- 4. (Currently amended) The cationic oligomer of a saccharide according to claim 2, wherein X is phosphorus phosphorus.

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5. (Original) The cationic oligomer of a saccharide according to claim 1, wherein R_1 is absent, R_2 and R_3 form a ring, X is nitrogen, Y is nitrogen, and m is 0.

- 6. (Original) The cationic oligomer of a saccharide according to claim 1, wherein R_1 is absent, R_2 and R_3 form a ring, X is nitrogen, Y is carbon, and m is 1.
- 7. (Currently amended) A cationic oligomer of a saccharide of the general formula (I):

wherein:

$$n = 0 \text{ to } 8$$
:

X is nitrogen or phosphorus;

R is a hydroxyl, an ester, a carbamate, a carbonate, a phosphinate, a phosphonate, a phosphate, a sulfinate, a sulfite, a sulfonate, a sulphate, or R'O-, wherein R' is linear or branched (C_1 - C_{20})alkyl, hydroxy(C_1 - C_{20})alkyl, carboxy(C_1 - C_{20})alkyl, aryl, or aryl(C_1 - C_{20})alkyl; and

 R_1 , R_2 and R_3 are each independently selected from the group consisting of hydrogen, linear or branched (C_1 - C_{20})alkyl, linear or branched (C_1 - C_{20})alkynyl, and cycloalkyl.

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8. (Original) The cationic oligomer of a saccharide according to claim 7, wherein X is nitrogen.

- 9. (Currently amended) The cationic oligomer of a saccharide according to claim 7, wherein X is phosphorous phosphorus.
- 10. (Original) A cationic oligomer of a saccharide of the general formula (II)

wherein

$$n = 0 \text{ to } 8$$
;

R is a hydroxyl, an ester, a carbamate, a carbonate, a phosphinate, a phosphonate, a phosphate, a sulfinate, a sulfinate, a sulfonate, a sulphate, or R'O-, wherein R' is linear or branched chain (C_1-C_{20}) alkyl, hydroxy (C_1-C_{20}) alkyl, carboxy (C_1-C_{20}) alkyl; carboxy (C_1-C_{20}) alkyl;

 R_4 is hydrogen, linear or branched (C_1 - C_{20})alkyl, linear or branched (C_1 - C_{20})alkenyl, linear or branched (C_1 - C_{20})alkynyl, or cycloalkyl; and

 R_5 is hydrogen, 2-(2-ethoxyethoxy)ethyl, linear or branched (C_1 - C_{20})alkyl, linear or branched (C_1 - C_{20})alkenyl, linear or branched (C_1 - C_{20})alkynyl, or cycloalkyl.

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11. (Original) The cationic oligomer of a saccharide according to claim 10, wherein R₄ is hydrogen or methyl.

12. (Original) A cationic oligomer of a saccharide of the general formula (III)

wherein

$$n = 0 \text{ to } 8;$$

R is a hydroxyl, an ester, a carbamate, a carbonate, a phosphinate, a phosphonate, a phosphate, a sulfinate, a sulfinate, a sulfonate, a sulphate, or R'O-, wherein R' is linear or branched (C_1 - C_{20})alkyl, hydroxy(C_1 - C_{20})alkyl, carboxy(C_1 - C_{20})alkyl, aryl, or aryl(C_1 - C_{20})alkyl; and

 R_5 is hydrogen, linear or branched (C_1 - C_{20})alkyl, linear or branched (C_1 - C_{20})alkenyl, linear or branched (C_1 - C_{20})alkynyl, cycloalkyl, or NR_6R_7 , wherein R_6 and R_7 are each independently selected from the group consisting of hydrogen, linear or branched (C_1 - C_{20})alkyl, linear or branched (C_1 - C_{20})alkenyl, linear or branched (C_1 - C_{20})alkynyl, and cycloalkyl.

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13. (Currently amended) The cationic oligomer of a saccharide according to any one of claims 1 to 12 of claim 1, wherein n is 1, 2, or 3.

- 14. (Currently amended) The cationic oligomer of a saccharide according to any one of claims 1 to 13 of claim 1, further comprising a counterion.
- 15. (Currently amended) The cationic oligomer of a saccharide according to of claim 14, wherein the counterion is fluoride, chloride, bromide, iodide, nitrate, HCO₃⁻, CO₃²⁻, HSO₄⁻, BF₄⁻, BCl₄⁻, PF₆⁻, SbF₆⁻, AsF₆⁻, AlCl₄⁻, R₉-CO₂⁻ or R₉-SO₃⁻, wherein R₉ is linear or branched (C₁-C₂₀)alkyl, linear or branched (C₁-C₂₀)alkynyl, cycloalkyl, or aryl(C₁-C₂₀)alkyl.
- 16. (Currently amended) A method of preparing a cationic oligomer of a saccharide as defined in any one of claims 1 to 15 claim 1, comprising reacting an amine, a phosphine, an imidazole, or a pyridine with [[a]] an oligomer of [[a]] the saccharide having a leaving group.
- 17. (Currently amended) The method according to of claim 16, wherein the leaving group is a halide, a mesylate, a tosylate, a triflate, or a haloformate ester group.
- 18. (Currently amended) The method according to of claim 17, wherein the halide is an iodide, bromide, or chloride.
- 19. (Currently amended) The method according to any one of claims 16 to 18 of claim 16, wherein the leaving group is a tosylate.
- 20. (Currently amended) The method according to any one of claims 16 to 19 of claim 16, wherein the oligomer of a saccharide is mono-6-deoxy-6-tosyl cyclodextrin or mono-2-deoxy-2-tosyl cyclodextrin.
- 21. (Currently amended) The method according to any of claims 16 to 20 of claim 16, wherein the amine and phosphine are

$$R_1 \stackrel{X \sim R_3}{\underset{R_2}{\sim}} R_3$$

wherein R₁, R₂, and R₃ are defined as in claim 1.

- 22. (Original) The method of claim 21, wherein X is nitrogen.
- 23. (Original) The method of claim 21, wherein X is phosphorous.

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24. (Currently amended) The method according to any one of claims 16 to 20 of claim 16, wherein the imidazole is

$$N \longrightarrow N - R_5$$

wherein R₄ and R₅ are defined as in claim 1.

25. (Currently amended) The method according to any one of claims 16 to 20 of claim 16, wherein the pyridine is

wherein R₅ is defined as in claim 1.

26. (Currently amended) Use A method for enantiomeric separation of a mixture of racemates, comprising:

providing of a cationic oligomer of a saccharide as defined in any of claims 1 to 15 claim 1 as a chiral agent;

mixing the cationic oligomer of the saccharide with the mixture of racemates; and for an enantiomeric separation enantioseparating the racemates by a chromatographic method.

- 27. (Currently amended) The use method of claim 26, wherein the chromatographic method is selected from the group consisting of gas chromatography (GC), liquid chromatography (LC), high performance liquid chromatography (HPLC), capillary electrophoresis (CE), and sub or supercritical fluid chromatography (SFC).
- 28. (Currently amended) Use of A method for asymmetric synthesis of a compound, comprising:

providing a cationic oligomer of a saccharide as defined in any of claims 1 to 15 claim 1 as a chiral agent; and

performing the for an asymmetric synthesis reaction in the presence of the chiral agent.

29. (Currently amended) The use method of claim 28, wherein the asymmetric synthesis is a reduction or a pericyclic reaction.

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- 30. (Currently amended) The use method of claim 29, wherein the pericyclic reaction is an ene or a Diels Alder reaction.
- 31. (New) The cationic oligomer of a saccharide of claim 10, wherein n is 1, 2, or 3.
- 32. (New) The cationic oligomer of a saccharide of claim 12, wherein n is 1, 2, or 3.
- 33. (New) The cationic oligomer of a saccharide of claim 10, further comprising a counterion.
- 34. (New) The cationic oligomer of a saccharide of claim 12, further comprising a counterion.
- 35. (New) A method of preparing a cationic oligomer of a saccharide as defined in claim 10, comprising reacting an amine, a phosphine, an imidazole, or a pyridine with an oligomer of the saccharide having a leaving group.
- 36. (New) A method of preparing a cationic oligomer of a saccharide as defined in claim 12, comprising reacting an amine, a phosphine, an imidazole, or a pyridine with an oligomer of the saccharide having a leaving group.
- 37. (New) A method for enantiomeric separation of a mixture of racemates, comprising: providing a cationic oligomer of a saccharide as defined in claim 10 as a chiral agent; mixing the cationic oligomer of the saccharide with the mixture of racemates; and enantioseparating the racemates by a chromatographic method.
- 38. (New) A method for enantiomeric separation of a mixture of racemates, comprising: providing a cationic oligomer of a saccharide as defined in claim 12 as a chiral agent; mixing the cationic oligomer of the saccharide with the mixture of racemates; and enantioseparating the racemates by a chromatographic method.
- 39. (New) A method for asymmetric synthesis of a compound, comprising: providing a cationic oligomer of a saccharide as defined in claim 10 as a chiral agent; and performing the asymmetric synthesis reaction in the presence of the chiral agent.
- 40. (New) A method for asymmetric synthesis of a compound, comprising: providing a cationic oligomer of a saccharide as defined in claim 12 as a chiral agent; and performing the asymmetric synthesis reaction in the presence of the chiral agent.